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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01197

MMB/yv

In re Application of:

Carlos Alfonso Cruz, et al.

Serial No.: 10/082,393 : Group Art Unit: 1711

Confirmation No. 4006

Filed: 02/25/2002 : Examiner: Not yet assigned

For: PROCESSES FOR PREPARING NON-GELLING HIGH POLYMER COMPOSITIONS AND THERMOPLASTIC BLENDS THEREOF

### MAIL STOP NON-FEE AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement
List of Cited Art by Applicant (in duplicate)
Cited Art
Return Receipt Postcard

Date O9/17/03

Signature

Signature





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Group Art:** 

Examiner: unknown

1711

Docket No. A01197 MMB/yv

In re application of:

Carlos Alfonso Cruz, et al.

Serial No.: 10/082,393

Confirmation No.: 4006

Filed: February 25, 2002

PROCESSES FOR PREPARING: For:

> NON-GELLING HIGH POLYMER: **COMPOSITIONS AND THERMO-:**

PLASTIC BLENDS THEREOF X

Mail Stop NON-FEE AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

## **U.S. Patents**

3,062,765

3,833,686\*

4,086,296

4,107,114\*\*

5,506,307\*

6.031.047

Foreign References

EP 0 313 849\*\*

EP 0 697 423\*\*

FR 2 328 505\*\*

The above-listed patents and patent references that are designated with an

asterisk (\*) are discussed in the specification of the present application. The above-

listed patents and patent references that are designated with a double asterisk (\*\*) have

been cited in a European Search Report issued in connection with applicants'

corresponding European Application No. 02 25 1051, filed February 15, 2002. A copy

of the aforesaid European Search Report is enclosed herewith and provides an

indication of the relevance of the patents and patent documents cited therein.

addition, it is noted that FR 2 328 505 is a non-English document. US Patent No.

4,107,114 corresponds to FR 2 328 505 and is, therefore, being cited and provided

herewith to provide the Examiner with an English language version of FR 2 328 505.

Applicants and their attorney are not currently in possession of an English-language

translation of EP 0 313 849, but will be happy to provide such a translation upon the

Examiner's request.

Applicants also take this opportunity to notify the Examiner of the existence of the

following co-pending, commonly assigned U.S. patent application, which may be

considered relevant to the present invention and a copy of which is submitted herewith

for the Examiner's convenience and consideration:

U.S. Serial No. 10/082,274

Entitled: NON-GELLING HIGH POLYMER COMPOSITIONS

AND THERMOPLASTIC BLENDS THEREOF

Filed: February 25, 2002

(Atty Docket: A01198)

It is noted that the remaining three above-listed patents, i.e., US Patent Nos.

3,062,765, 4,086,296 and 6,031,047, have been cited during prosecution of the above-

listed co-pending commonly assigned U.S. patent application (i.e., US Serial No.

10/082,274) and are, therefore, being cited and provided herewith for the sake of ensuring complete disclosure.

A completed Form PTO-1449 is being provided (in duplicate) herewith to facilitate the Examiner's consideration and entry of the above-listed patents and patent references in connection with the present application.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

Respectfully Submitted,

Date:

Rohm and Haas Company 100 Independence Mall West Philadelphia, Pa. 19106-2399 ∕Marcella M. Bodner Attorney for Applicants

Reg. No. 46,561

Telephone (215) 592-3025



# LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

	Sheet 1 of 1						
Atty Docket No.	Serial No.						
A01197	10/082,393						
Applicant							
Carlos Alfonso Cruz, et al.							
Filing Date	Group						
02/25/2002	1711						

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				tent Documents		
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	3,062,765	11/06/1962	Sherman, et al.		
	AB	3,833,686	09/03/1974	Grochowski, et al.		
	AC	4,086,296	04/25/1978	Carty, et al.		
	AD	4,107,114	08/15/1978	Nakayama, et al.		
	AE	5,506,307	04/09/1996	Memon, et al.		
	AF	6,031,047	02/29/2000	Brady, et al.		
	AG					
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				ATENT DOCUMENTS		
		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL	0 313 849 B1	24.04.1991	Europe		
	AM	0 697 423 B1	20.01.1999	Europe		
	AN	2 328 505	22.10.1976	France		
	AO					
	AP					
		OTHER ART (In	cluding Auth	or, Title, Date, Pertinent l	Patents, etc.)	
	AR					
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	AT					
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Examiner				Date Considered		

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



0 697 423 B1

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## **APPLICANTS' COPY**

Atty Docket No.

Applicant

A01197

Sheet 1 of 1

10/082,393

Serial No.

LIST OF ART CITED BY APPLICANT			Applicant Carlos Alfonso Cruz, et al. Filing Date Group			
(Use several sheets if necessary)						
				02/25/2002		1711
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	AF	6,031,047	02/29/2000	Brady, et al.		
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		<del></del>	FOREIGN PAT	ENT DOCUMENTS		
		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	ΑI	0.313.849.B1	24 04 1991	Furope		

AP OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.) ĀR AS ĀT Examiner **Date Considered** 

Europe

France

\*EXAMINER: Initial refer nce considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.